

Docket No. 278094US6PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yukihiko MOGI, et al.

SERIAL NO: 10/550,288

GAU: 2611

FILED: November 14, 2006

EXAMINER:

FOR: SAMPLING RATE CONVERSION DEVICE AND METHOD, AND AUDIO DEVICE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the reference(s) cited in the attached Japanese Office Action and listed on the attached form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available unedited computer-generated English translations of pertinent portions of any non-English language reference(s). The Japanese Office Action submitted herewith is being filed in the interest of full disclosure and is not a Statement of Relevancy.

- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 278094US6PCT		SERIAL NO. 10/550,288	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Yukihiko MOGI, et al.			
				FILING DATE November 14, 2006		GROUP 2611	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,568,142	10/22/1996	Scott R. VELAZQUEZ, et al. (corr. JP 10-507891 previously filed on 9-22-2005)			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	6-120776	04/28/1994	Japan (submitting unedited computer-generated English translation only, reference filed 09/22/2005)	X		
	AP	2002-9591	01/11/2002	Japan (submitting unedited computer-generated English translation only, reference filed 09/22/2005)	X		
	AQ	2002-9592	01/11/2002	Japan (with English abstract and unedited computer-generated English translation)	X		
	AR	5-327409	12/10/1993	Japan (submitting unedited computer-generated English translation only, reference filed 09/22/2005)	X		
	AS	7-221598	08/18/1995	Japan (submitting English abstract and unedited computer-generated English translation only, reference filed 09/22/2005)	X		
	AT	6-204797	07/22/1994	Japan (submitting English abstract and unedited computer-generated English translation only, reference filed 09/22/2005)	X		
	AU	2002-368543	12/20/2002	Japan (submitting unedited computer-generated English translation only, reference filed 09/22/2005)	X		
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							